

A P R E
A G E N Z I A
P E R L A
P R O M O Z I O N E
D E L L A
R I C E R C A
E U R O P E A



**Keys to success:
How is a proposal evaluated?**

**International Training
for FP7 “Newcomers”**

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www.fitforhealth.eu



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- FP7 Evaluation “Actors”
- FP7 Evaluation Criteria
- The Marking Scheme
- Individual & Proposal Thresholds
- FP7 Evaluation Procedure
- Keys to Success

- Funding decisions based on **peer review** of research proposals
- **Independent experts**: core of the FP7 evaluation system
 - Invited on **call-by-call basis**
 - **Balanced selection** of experts (background geography, gender, rotation):
 - **Sign confidentiality agreement & absence of conflict of interest declaration**
 - The **identity** of the evaluators remains **confidential**
- **Commission staff**:
 - **Manage** evaluation, ensure **fairness** & protect **confidentiality** of proposals
 - **Not involved** in evaluation per se

Evaluation criteria applicable to Collaborative project proposals

<h3>S&T QUALITY</h3> <p>Scientific and/or technological excellence (relevant to the topic addressed by the call)</p>	<h3>IMPLEMENTATION</h3> <p>The quality & efficiency of the implementation & management</p>	<h3>IMPACT</h3> <p>The potential impact through the development dissemination & use of project results (Refer to the list of impact specified in the Work Programme)</p>
<ul style="list-style-type: none"> • Soundness of concept & quality of objectives • Progress beyond the state-of-the-art • Quality & effectiveness of the S&T methodology & associated work plan 	<ul style="list-style-type: none"> • Management structure & procedures • Individual participants: Quality & relevant expertise/ experienced participants • Quality of the consortium as a whole (complementarity, balance) • Resources to be committed Appropriateness of the allocation & justification of the resources to be committed (budget, staff, equipment) 	<ul style="list-style-type: none"> • Contribution at the European and/or international level to the expected impacts listed in the work programme under relevant topic / activity • Appropriateness of measures for the dissemination and/or exploitation of project results and management of intellectual property

- **The 3 main evaluation criteria scored between 0 & 5**
 - **0** - The proposal fails to address the criterion under examination or cannot be judged due to missing or incomplete information = INELIGIBLE
 - **1 Very poor** - The criterion is addressed in a cursory and unsatisfactory manner
 - **2 Poor** – There are serious inherent weaknesses in relation to the criterion in question
 - **3 Fair** – while the proposal broadly addresses the criterion, there are significant weaknesses that would need correcting
 - **4 Good** – The proposal addresses the criterion well although certain improvements are possible
 - **5 Excellent** – The proposal successfully addresses all relevant aspects of the criterion in question. Any shortcomings are minor.

- **Single-stage proposals:** proposals are submitted & evaluated in only one stage

SCORES

Criterion	Threshold	Max Score
Scientific Quality	3	5
Implementation	3	5
Impact	3	5
Total	10	15

- **Two-stage proposals:**

- Short version of proposal is submitted & evaluated: not all criteria are applied at this stage.
- If evaluated positively: submission full second stage proposal & evaluated against all the 3 criteria.

Scores for a two-stage proposals' first stage:

SCORES

Criterion	Threshold	Max Score
Scientific Quality	4	5
Implementation		
Impact	3	5
Total	8	10

Scores for a two-stage proposals' second stage:

SCORES

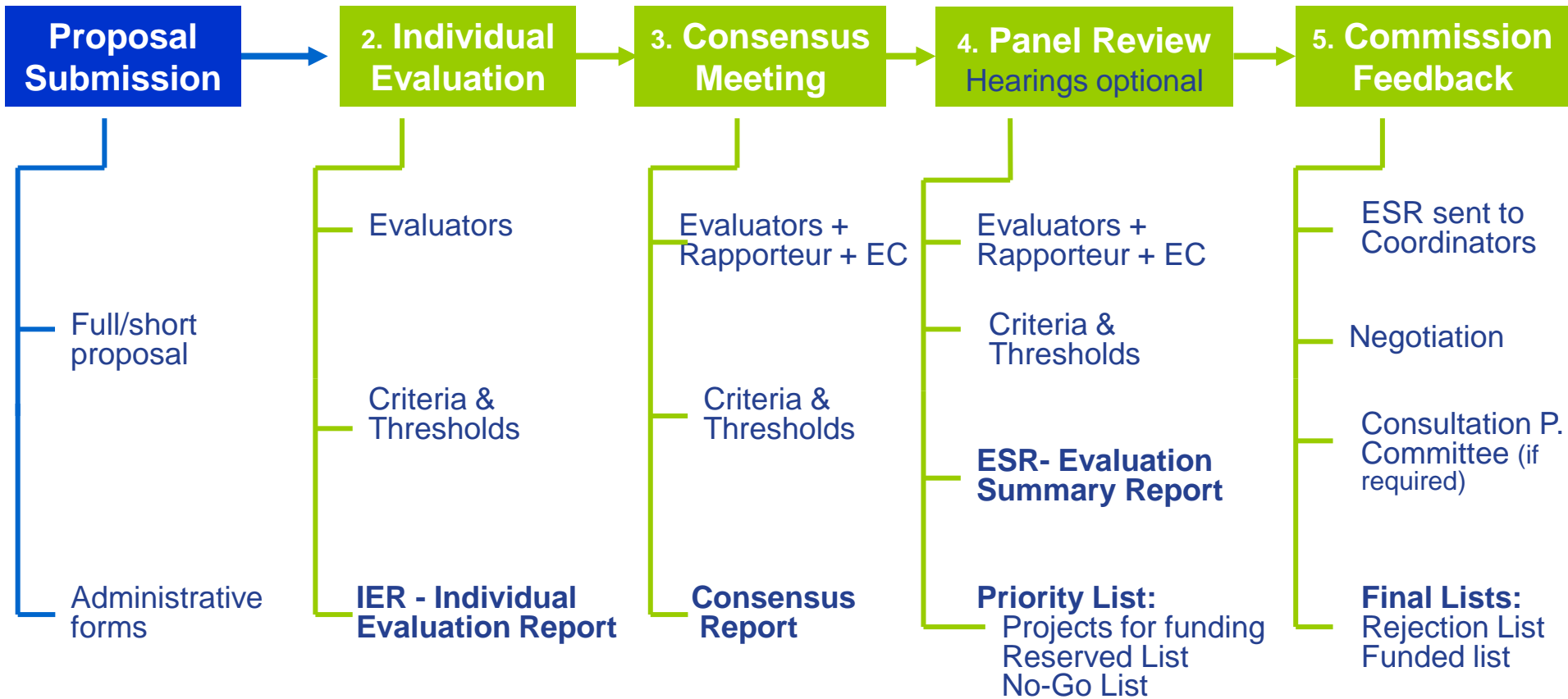
Criterion	Threshold	Max Score
Scientific Quality	4	5
Implementation	3	5
Impact	3	5
Total	12	15

FP7 Evaluation Procedure



1. Eligibility Check (Commission-EC)

Ethical Review (if needed)



Individual Evaluation Report for an Integrated Project

Proposal No. :

Acronym :

<p>1. Scientific and/or technological excellence (relevant to the topics addressed by the call) <i>Note: when a proposal only partially addresses the topics, this condition will be reflected in the scoring of this criterion</i></p> <ul style="list-style-type: none"> • Soundness of concept, and quality of objectives • Progress beyond the state-of-the-art • Quality and effectiveness of the S/T methodology and associated work plan 	<p>Score: <i>(Threshold 3/5; Weight 1)</i></p>
<p>2. Quality and efficiency of the implementation and the management</p> <ul style="list-style-type: none"> • Appropriateness of the management structure and procedures • Quality and relevant experience of the individual participants • Quality of the consortium as a whole (including complementarity, balance) • Appropriateness of the allocation and justification of the resources to be committed (budget, staff, equipment) 	<p>Score: <i>(Threshold 3/5; Weight 1)</i> <i>For the purposes of any subsequent negotiation, an above-threshold score for this criterion is regarded as an indication that the consortium has the operational capacity to carry out the work</i></p>
<p>3. Potential impact through the development, dissemination and use of project results <i>Note: Refer to the applicable list of impacts specified in the work programme</i></p> <ul style="list-style-type: none"> • Contribution, at the European and/or international level, to the expected impacts listed in the work programme under relevant topic/activity • Appropriateness of measures for the dissemination and/or exploitation of project results, and management of intellectual property. 	<p>Score: <i>(Threshold 3/5; Weight 1)</i></p>
<p>Remarks</p>	<p>Overall score: <i>(Threshold 10/15)</i></p>
<p><i>(If proposal above all thresholds)</i> Specific questions to be asked of proposers at hearing</p>	

Does this proposal contain ethical issues that may need further attention ?

NO

YES

- Do not waste time writing a proposal that has no chance of success
- **Write for the evaluators** (against evaluation criteria)
- Proposal has to be “**self-contained**”
- **Take care of details / good presentation:** the difference between winning & losing can be 0,5 points
- Write a **good abstract:** first impression counts



- **Project idea** is an excellent fit to the call/topic
- **Title & acronym** fit the topic addressed
- **State of the art:** well described & significant advance (beyond)
- **Concept & objectives clearly described:** real measurable, european value
- **Sound methodology to reach objectives:**
- **Detailed Work plan:** WPs well defined, interconnected
- **Feasibility of the project, risk identification & contingency plan**



- **Individual participants with outstanding records & expertise**
- **Consortium suitable of achieving project objectives:** balanced (geography, type of organisation), complementarity, multidisciplinary, European dimension
- **Management structure / procedures clear & practical to reach objectives**
- **Experienced coordinator to manage project**
- **Balanced allocation & good justification of resources** (budget, personnel & equipment)



- **Real Impact justified & persuasive**
- **Impact detailed at different levels:** scientific/knowledge, policies, societal (health impact, economical impact) 
- **Dissemination Plan well thought & detailed**
- **Good Exploitation Plan** of project results: involvement of stakeholders/end users
- **IPR issues addressed & should be agree upfront by all partners**

- **High-quality & multidisciplinary** research proposals perfectly in line with the call
- **Original, innovative, & ambitious** research: be innovative in approaches, methodology , solutions....
- **Contribution at EU & International level:** proposals that solve a clear problem, address real needs
- **Appropriate expertise**
- **Well-managed** research
- **Value for money**



- Read **Work programme (WP): “rules of the game”**
 - **Topics are prescriptive**, not suggestions
 - **Impact** section
- **Guide for applicants**
- **Guide for reviewers:**
ftp://ftp.cordis.europa.eu/pub/fp7/docs/guideforercpeerreviewers-adg_en.pdf
- For those interested in registering to the FP7 experts database (potential evaluators) <http://cordis.europa.eu/emmp7/index.cfm>



Thank you!

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